Page 1 of 2

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE 028622/0102 09/673,735 APPLICANT INFORMATION DISCLOSURE CITATION Bernd DORKEN et al. FILING DATE **GROUP ART UNIT** Unassigned December 27, 2000 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS FILING DATE DOCUMENT** SUB-**EXAMINER CLASS** DATE ·· NAME REF IF CLASS INITIAL NUMBER **APPROPRIATE** FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT SUB-CLASS DATE COUNTRY REF **CLASS** NUMBER YES NO 195 31 348 2/27/97 Fed. Republic of Germany Х PKT A1 9/30/92 0 505 908 Europe A2 91/09968 7/11/91 **WIPO** A3i 5/4/95 **WIPO** 95/11922 A4. 11/21/96 **WIPO** 96/36360 A5 (OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) H. Bohlen et al., "TREATMENT OF EB-VIRUS INDUCED B-CELL LCL IN SCID-HU MICE USING CD3XCD19 A6L BISPECIFIC AND CD28 ANTIBODIES.", Proceedings of the American Association for Cancer Research, vol. 35. March, 1994, pg. 510, XP-002076122, abstract. Jan De Jonge et al., "Bispecific antibody treatment of murine B cell lymphoma.", Cancer Immunology A7 Immunotherapy, vol. 45, No. 3/4, pp. 162-165, XP-002076120, Springer-Verlag, 1997 Sergey M. Kipriyanov et al., "BISPECIFIC CD3 X CD19 DIABODY FOR T-CELL-MEDIATED LYSIS OF MALIGNANT HUMAN B CELLS.", International Journal of Cancer, vol. 77, August 31, 1998, pp. 763-772, XP-**8**A 002115487, Wiley-Liss, Inc., 1998 P. Kufer et al., "Construction and biological activity of a recombinant bispecific single-chain antibody designed for therapy of minimal residual colorectal cancer.", Cancer Immunology Immunotherapy, vol. 45, No. 3/4, pp. A9 ¹ 193-197, XP-002076121, Springer-Verlag, 1997 Anja Schroder et al., "A RECOMBINANT BISPECIFIC SINGLE CHAIN ANTIBODY CD19XCD3 INDUCED RAPID B CELL LYMPHOMA-DIRECTED CYTOTOXICITY OF UNSTIMULATED HUMAN T CELLS.". 40th A10 Annual Meeting Of The American Society Of Hematology Miami Beach, Florida, December 4-8, 1998, XP-002115457, abstract. **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include any copy of this form with next

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INFO	ORMATI	ON DISCLOSURE CITATION	Bernd DORKE	N et al.		
		•	FILING DATE	GROUP ART UNIT		
	(Use se	everal sheets if necessary)	December 27, 2000	Unassigned		
		OTHER DOCUMENTS (Including A	uthor, Title, Date, Pertinent Pages,	Etc.)		
PKT	A11 -	"National Cancer Institute Sponsored Stu Description of a Working Formulation for	dy of Classifications of Non-Hodgkin's Clinical Usage.", Cancer, vol. 49, pp. 2	Lymphomas, Summary and 2112-2135, 1982, (Exhibit 1)		
	A12	Harald Stein et al., "Die neue WHO-Klass C-2302-C-2309, December, 1999, includi	sifikation der malignen Lymphome.", De ing English language summary, (Exhib	eutsches Arzteblatt, vol. 96, pp. it 2)		
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	A14 -	Jan De Jonge et al., "PRODUCTION AND FRAGMENTS.", Molecular Immunology, v	vol. 32, No. 17/18, pp. 1405-1412, 199	5, (Exhibit 4)		
	A15	The state of the Murine PCI 1 Lymphoma Modell.". [10]				
	A16	Matthias Mack et al., "Biologic Properties (EpCAM) and CD3.", Proceedings of the 6)	of a Bispecific Single-Chain Antibody National Academy of Science, vol. 92,	Directed Against 17-1A pp. 7021-7025, 1995, (Exhibit		
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Substitute for form 1449B/P 15 DEW Complete if Known Application Number 09/673,735 INFORMATION DISCLOSURE 12/27/2000 STATEMENT BY APPLICANT Filing Date Bernd DORKEN **First Named Inventor** Date Submitted: March 28, 2005 1642 Group Art Unit **Examiner Name** Larry Ronald Helms (use as many sheets as necessary)

Attorney Docket Number

			•	U.S. PATENT DOCUMENTS			
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D1	ANDERSON, P. M., et al., "G19.4(αCD3) x B43(αCD19) Monoclonal Antibody Heteroconjugate Triggers CD19 Antigen-Specific Lysis of t(4;11) Acute Lymphoblastic Leukemia Cells by Activated CD3 Antigen-Positive Cytotoxic T Cells, Blood, vol. 80, no. 11, pp. 2826-2834 (1992).	
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	No.1 D1 D2 D3	 No.1 Rem (book, magazine, journal, serial, sympositin, catalog, etc.) date, page(s), restained (solid) publisher, city and/or country where published. D1 ANDERSON, P. M., et al., "G19.4(αCD3) x B43(αCD19) Monoclonal Antibody Heteroconjugate Triggers CD19 Antigen-Specific Lysis of t(4;11) Acute Lymphoblastic Leukemia Cells by Activated CD3 Antigen-Positive Cytotoxic T Cells, Blood, vol. 80, no. 11, pp. 2826-2834 (1992). D2 BOHLEN, H., et al., "Cytolysis of Leukemic B-Cells by T-Cells Activated via Two Bispecific Antibodies", Cancer Research, vol. 53, pp. 4310-4314 (1993). D3 HAAGEN, I., et al., "Killing of Autologous B-Lineage Malignancy Using CD3 x CD19 Bispecific Monoclonal Antibody in End Stage Leukemia and Lymphoma", Blood, vol. 84, no. pp. 556-563 (1994). D4 HAAGEN, I., et al., "Unprimed CD4+ and CD8+ T cells can be rapidly activated by a CD3 x CD19 bispecific antibody to proliferate and become cytotoxic", Cancer Immunol. Immunother, vol. 39, pp. 391-396 (1994). D5 DE GAST, G. C., et al., "Clinical Experience with CD3 x CD 19 Bispecific Antibodies in Patients with B Cell

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		NON PATENT LITERATURE DOCUMENTS
Examiner nitials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.
PKT	D6	WEINER, G. J., et al., "Bispecific Monoclonal Antibody Therapy of B-Cell Malignancy", Leukemia and Lymphoma, vol. 16, pp. 199-207 (1995).
1	D7	HAAGEN, I., "Performance of CD3 x CD19 Bispecific Monoclonal Antibodies in B Cell Malignancy", Leukemia and Lymphoma, vol. 19, pp. 381-393 (1995)
	D8	HAAGEN, I., et al., "The Efficacy of CD3 x CD19 Bispecific Monoclonal Antibody (BsAb) in a Clonogenic Assay: The Effect of Repeated Addition of BsAb and Interleukin-2", Blood, vol. 85, no. 11, pp. 3208-3212 (1995).
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				First Named Inventor	Bernd DORKEN			
				Group Art Unit	1642			
	(use as many sheets	as ne	cessary)	Examiner Name	Larry Ronald Helms			
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